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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ATTTORNEY'S DOCKET NUMBER

IR 6844-00

U.S. APPLICATION **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** Not Yet Ass PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE October 24, 2003 October 24, 2002 PCT/US2003/033914 TITLE OF INVENTION Silicon Modified Polyamide Material Useful for Oral Care APPLICANT(S) FOR DO/EO/US Heng Cai, Marian N. Holerca, Albert C. Chen, Van Canady, John Afflitto, Steven L. Fantano, Kevin J. Ryan Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

A copy of the International Preliminary Examination Report

Other items or information:

A copy of WO 2004/03/106 20. X

20. Cher items or information:

A copy of WO 2004/037106

A copy of WO The amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

PTO-1390 (Rev. 07-2004)
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	. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER			
Not Yet Assigne	1/5168/4	PCT/US2003/033	914	IR 6844-00		
21. X The following	fees are submitted:			CALCULATIONS	PTO USE ONLY	
	E (CFR 1.492(a)(1)–(5)):					
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$920.00						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO						
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)						
and all claims satisfied	ry examination fee (37 CFR provisions of PCT Article 33 ENTER APPROPRIATE	\$ 100.00				
	for furnishing the oath or dec ed priority date (37 CFR 1.49	\$				
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		
Total claims	19 - 20 =	U	X \$18.00	\$		
Independent claims	1 -3=	U	X \$86.00	\$	`	
MULTIPLE DEPENDE	NT CLAIM(S) (if applicable)	TOTAL OF ABOVE CA	+ \$290.00	\$	<u> </u>	
		\$				
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.						
		\$ 100.00				
Processing fee of \$130 claimed priority date (3	0.00 for furnishing the Englis 37 CFR 1.492(f)).	\$				
		\$ 100.00				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31): \$40.00 per property						
	· · ·	\$ 100.00				
		Amount to be refunded:	\$			
				Amount to be charged:	\$	
a. A check in the amount of \$ to cover the above fees is enclosed.						
b. Please charge my Deposit Account No. 03-2455 in the amount of \$ 100.00 to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03 · 2455. A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.437(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Harris A. Wolin, Esq.						
Colgate-Palmolive Company Harris A Wolin						
Patent Departm)					
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REGISTRATION NUMBER					<u>.</u>	
1						

Alloney Docket: IR 6844-00

DT05 Rec'd PCT/PTO 0 3 DEC 2004

In the United States Patent and Trademark Office

Applicant: Heng Cai et al.

Serial No.: Not Yet Assigned

Art Unit: Not Yet Assigned

For:

Based Upon International Application Number: PCT/US03/33914 which claims priority from U.S. Provisional Application Number 60/421,037 filed October 24, 2002.

Silicon Modified Polyamide Material Useful for Oral Care

Certificate of Express Mailing under 37 CFR 1.10

"Express Mail" n	nailing label number	EV 360778541 US
Date of Deposit _	December 3, 2004	

- 1. Transmittal Letter Form PTO-1390
- 2. Declaration for Utility (37 CFR 1.63) Form PTO/SB/01
- 3. Preliminary Amendment
- 4. Copy of Published International Application WO 04/037106
- 5. Information Disclosure Statement (37 CFR 1.97 and 1.98)
- 6. Copy of International Preliminary Examination Report (PCT/IPEA/409)
- 7. Copy of International Search Report (PCT/ISA/210)
- 8. Return Receipt Postcard

I hereby certify that this paper (along with any paper or fee referenced therein as being transmitted herewith) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to:

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